

	Question	Text / Y/N	Info / KO	Can / Must	Proof documents / Document upload
	1. basic data				
1	If your company has a website, please provide the address:	Text	Info	Can	
2	Please enter the full company name (name, address) and the legal form of your company (e.g. sole proprietorship, KG, GmbH, AG).	Text	Info	Must	
3	Does your company have a business registration? If you answer this question with 'yes', you will receive an upload link to upload the proof of business registration in a separate mail.	Y/N	Info	Must	Proof of business registration
4	Is your company registered with the Chamber of Crafts or in the professional register? If you answer this question with 'yes', you will receive a separate e-mail with an upload-LINK to upload the proof of registration at the Chamber of Crafts or in the professional register.	Y/N	Info	Must	Proof of entry in the Chamber of Crafts or in the professional register
	2. reliability				
5	Your company confirms that it has received the DB Code of Conduct for Business Partners [DOWNLOADLINK] and hereby undertakes to comply with the principles set out therein in each case in addition to the respective obligations arising from any supply and/or service agreements with a company of the Deutsche Bahn Group (i.e. Deutsche Bahn AG or an affiliated company). Note by the Deutsche Bahn Group: In the event of violations of the DB Code of Conduct for Business Partners by your company, the Deutsche Bahn reserves the right to Group reserves the right to withdraw the qualification.	Y/N	Info	Must	
6	As an alternative to compliance with the DB Code of Conduct for Business Partners: Your company confirms that it has received the BME Code of Conduct of the Association Materials Management, Purchasing and Logistics e.V. [DOWNLOADLINK] and hereby undertakes to comply with the principles set out therein in addition to the respective obligations arising from any supply and/or service contracts with a company of the Deutsche Bahn Group. Note by the Deutsche Bahn Group: The BME Code of Conduct and compliance with it cannot and do not create any obligations for the Deutsche Bahn Group and are not accepted by it. The Deutsche Bahn Group does not thereby waive any rights arising from any supply and/or service contracts. In the event of violations of the BME Code of Conduct by your company, the Deutsche Bahn Group reserves the right to withdraw qualification and terminate contracts.	Y/N	Info	Can "Mandatory" field if DB Code of Conduct for Business Partners is not recognized ("No" for question no. 5)	
7	As an alternative to compliance with the DB Code of Conduct for Business Partners and the BME Code of Conduct: Your Company Confirms: a) a - Have your own Code of Conduct (or equivalent) that sets forth principles substantially similar to the DB Code of Conduct for Business Partners that are binding on your company, or - to observe the Code of Conduct (or comparable regulations) of an association ("Association Code") recognized by Deutsche Bahn as equivalent (such as the DB Code of Conduct of the German Railway Industry Association (VDB) e.V.) and hereby undertakes to comply with the principles set forth therein in each case in addition to the respective obligations under any supply and/or service agreements with a Deutsche Bahn Group company, and b) upload its own Code of Conduct (or comparable regulations) in the applicable version for a possible review of the comparability of the principles or a declaration as to which association code recognized as equivalent is complied with by the company in relation to the Deutsche Bahn Group, upon request by the system. Note by Deutsche Bahn Group: Your company's own Code of Conduct (or comparable regulations) or the Association Code of Conduct and compliance therewith cannot and do not create any obligations for Deutsche Bahn Group and will not be accepted by it. The Deutsche Bahn Group does not thereby waive any rights arising from any supply and/or service contracts. In the event of violations of its own Code of Conduct (or comparable regulations) or the Association Code of Conduct by your company, Deutsche Bahn Group reserves the right to withdraw qualification and terminate contracts. If your company's own Code of Conduct (or comparable regulations) is involved, the Deutsche Bahn Group also reserves the right to withdraw qualification and to terminate contracts if the review reveals it turns out that there is no comparability of principles.	Y/N	Info	Can "Must" field if BME-Behavioral guideline is not recognized ("No" to question #5 AND "No" to question #6).	Own Code of Conduct (DB Code of Conduct) or comparable regulations of your company

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8	Your company hereby confirms that it does not accept the DB Code of Conduct for Business Partners or any of the alternatives. Your company is aware that this may possibly lead to non-qualification.	Y/N	Exclusion with "Yes"	Can "must" field if no own, equivalent code of conduct exists ("No" for each of questions no. 5 AND 6 AND 7)	
2.1 Compliance					
9	Has your company violated applicable environmental, social or labor law obligations in the execution of public procurement contracts (cf. Section 124 (1) No. 1 GWB)?	Y/N	Exclusion with "Yes"	Must	
10	Is your company insolvent, have insolvency proceedings or comparable proceedings been applied for or opened against the assets of your company, has the opening of such proceedings been rejected for lack of assets, is your company in liquidation proceedings or has your company ceased its activities (cf. Section 124 (1) No. 2 ARC)?	Y/N	Exclusion with "Yes"	Must	Self-declaration regarding the opening of a Insolvency proceedings pursuant to section 124 (1) No. 2 GWB
11	Is your company in the process of liquidation (cf. Section 21 (4) No. 2 SektVO)?	Y/N	Exclusion for "Yes"	Must	
12	Has your company failed to meet its obligations to pay taxes, duties and social insurance contributions (cf. Section 123 (4) ARC)?	Y/N	Exclusion for "Yes"	Must	
13	If an obligation to pay taxes, duties and social insurance contributions has not been fulfilled, has this been established by a final non-appealable court or final non-appealable administrative decision (cf. Section 123 (4) No. 1 ARC)?	Y/N	Exclusion with "Yes"	Must	
14	<p>In the course of your occupation, has there been any serious misconduct by a person in the last 3 years of your business whose conduct (e.g. within the meaning of the attribution provision of Section 123 (3) ARC) is attributable to your business and which calls into question the reliability of your business (cf. Section 124 (1) No. 3 ARC)?</p> <p>Serious misconduct is understood to mean in particular the examples from the following catalog of examples. Violations of comparable regulations of other countries are equivalent to a violation of the regulations listed in the catalog of examples.</p> <p>Example catalog: a) a violation of the provisions specified in Section 123 (1) Nos. 1 to 10 of the ARC (such as bribery) b) Fraud, embezzlement, forgery of documents, insolvency offenses, offenses against the environment, betrayal of trade secrets and trade secrets c) a violation of the hardcore restraints within the meaning of Art. 101 TFEU, Sec. 1 GWB (price, submission, quantity, quota, etc.) , territory and customer agreements) dc) a violation of other legal regulations recognized by case law as serious misconduct (e.g., a violation of the provisions set forth in Section 21 of the Posted Workers Act, Section 98c of the German Residence Act, § Section 19 of the Minimum Wage Act or Section 21 of the Act to Combat Clandestine Employment).</p>	Y/N	Exclusion with "Yes"	Must	
15	<p>If there is serious misconduct, this is the subject:</p> a) of an official procedure (including law enforcement and antitrust authorities) b) of a penalty notice or other official decision (including law enforcement and antitrust authorities). c) a conviction (including penalty orders) d) an internal company audit or investigation?	Y/N	Exclusion with "Yes"	Must	

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16	If there is serious misconduct on the part of a company affiliated with your company within the meaning of § 15 et seq. AktG (German Stock Corporation Act), which is the subject a) of an official procedure (including law enforcement and antitrust authorities) b) a fine notice or other official decision (including law enforcement and antitrust authorities) or c) of a conviction (including sentencing orders) was?	Y/N	Exclusion with "Yes"	Must	
17	Does your company a) a violation of the hardcore restraints within the meaning of Art. 101 TFEU, Sec. 1 GWB (price, submission, quantity, quota, etc.) and customer agreements) have been committed or b) your company has received written information from third parties (e.g. associations, media) about violations of these hardcore restraints, or c) agreements with other companies which have as their object or effect the prevention, restriction or distortion of competition (cf. Section 124 (1) No. 4 ARC)?	Y/N	Exclusion with "Yes"	Must	
18	If your company has a material requirement in the execution of a previous contract or concession agreement with Deutsche Bahn AG or one of its related pursuant to Sections 15 et seq. AktG significantly or continuously inadequately fulfilled (cf. Sec. 124 (1) No. 7 GWB)?	Y/N	Exclusion for "Yes"	Must	
19	Has your company committed any deception with regard to grounds for exclusion within the meaning of Sections 123 f. ARC or suitability criteria within the meaning of Section 122 ARC, withheld information or was your company not in a position to provide the required evidence (cf. Section 124 (1) No. 8 ARC)?	Y/N	Exclusion with "Yes"	Must	
20	If your company has participated in a contract award procedure of Deutsche Bahn AG or a company affiliated with it pursuant to Sections 15 et seq. AktG affiliated company, a) attempts to improperly influence decision-making, b) seeks to obtain confidential information through which it could gain improper advantages in the contract award procedure; or c) provided misleading information which could have influenced or attempted to influence the award decision (cf. Section 124 (1) No. 9 ARC)?	Y/N	Exclusion with "Yes"	Must	
21	Is your company aware of any potential conflicts of interest with regard to a business relationship between your company and Deutsche Bahn AG or a company affiliated with Deutsche Bahn AG pursuant to Sections 15 et seq. AktG (e.g. within the meaning of Section 124 (1) No. 5 GWB or comparable regulations)?	Y/N	Exclusion with "Yes"	Must	
22	Does your company ensure the following antitrust compliance measures: a) Antitrust regulations, especially for contacts with competitors? b) Regular classroom and/or online antitrust training for employees - especially managers - with competitor contacts? Note: Your qualification remains fundamentally unaffected by the answer to this question. If the answer to this question is "No", this may result in no contract being entered into with your company. A subsequent conclusion of a contract may be made dependent on the submission of an additional declaration by your company with supplementary obligations regarding antitrust compliance in certain product groups. Irrespective of this, Deutsche Bahn AG expects your company to meet or introduce certain minimum standards for antitrust compliance.	Y/N	Info	Must	
	3. performance				
	3.1. financial data				

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23	<p>Please provide the following financial ratios for your company for the last three completed fiscal years [€] (if not available, please enter "n/v"):</p> <p>Table layout: 3 columns and 8 rows Column label: free text field for fiscal years [YYYY or YYYY/YYYY] Row label: Line 1: "Total sales" [MUST]. Line 2: "Sales in product category to qualify" [MUST]. Line 3: "Proportion of pre-supplier/subcontractor services in the product category to be qualified" [MUST] Line 4: "Export share of sales" [MUST]. Line 5: "Equity" [MUST] Line 6: "Debt capital" [MUST] Line 7: "Total capital" [MUST]. Line 8: "Property, plant and equipment" [MUST] Line 9: "EBIT" [MUST]</p>	Text	Info	Can/ Must [see table layout].	
24	Please specify the partners/shareholders >25% and name the associated business shares [%]:	Text	Info	Must	
25	What is the share capital of your company in €?	Text	Info	Can	
26	<p>Is your company able to provide a current binding statement from a bank/insurance company about the basic willingness to provide a surety bond/line of credit for their company in case of an order?</p> <p>This does not include the issuance of a surety bond! and/or indication of the surety bond amount!</p> <p>If you answer this question with 'Yes', you will receive an upload-LINK for the upload of a 'Declaration of bank/insurance about the basic willingness to provide a surety bond/credit in case of order' with a separate mail. Answering 'No' to this question or providing evidence that cannot be accepted will result in the rejection of their application.</p>	Y/N	Exclusion in case of "No"	Must	Declaration by the bank/insurance company that it is prepared in principle to provide a surety bond/credit in the event of a contract being awarded
3.2 Corporate structure					
27	<p>How many employees does your company have in the product category you qualify (last 3 fiscal years) (if none, please enter "n/v"):</p> <p>Table layout: 3 columns and 6 rows Column label: column label: free text field for fiscal years [YYYY or YYYY/YYYY] Row label: 1. Line: "Total count" [Must] 2. Line: " of which number of managerial staff" [Must].</p> <p>Breakdown by area: 3. Line: "Number of production" [Must] 4. Line: "Number of research and development" [Must]. 5. Line: "Number quality assurance" [Must]. 6. Line: "Employees in other areas" [Must].</p>	Text	Info	Can/ Must [see table layout].	
28	If your company is part of a group/corporate group, please describe the structure up to the top company:	Text	Info	Can	
29	If applicable, what is the name of your parent company?	Text	Info	Can	
30	If applicable, what is the DUNS number of your parent company?	Text	Info	Can	
31	Does your company have economically independent branches/branch offices?	Y/N	Info	Must	
32	If applicable: Please list all economically independent subsidiaries/branch offices.	Text	Info	Can	
33	What market share does your company have with its products, by market/product, in Germany, in Europe, worldwide?	Text	Info	Must	
34	Does your company have an up-to-date organizational chart?	Y/N	Info	Must	Organizational chart for the representation of the Functional structure of the company and of group of companies (holding company, branches, etc.)

	Question	Text / Y/N	Info / KO	Can / Must	Proof documents / Document upload
35	Is your company present for order processing and with reference to the product in the Federal Republic of Germany or in a member state of the EU?	Y/N	Info	Must	Listing corporate and Production sites in Europe (incl. affiliated companies), naming countries
4. technical knowledge					
4.1 Quality assurance and environmental management					
36	Does your company have a quality management system in place? 3 = Certified quality management system according to DIN EN ISO 9001 or equivalent 2 = Certified quality management system not equivalent to DIN EN ISO 9001 1 = Non-certified quality management system 0 = No quality management system	Y/N	Exclusion at "0"	Must	Proof of quality management (QM) by certificate or description of QM
37	If your company has a non-certified quality management system, please describe how quality is assured:	Text	Info	Can	
38	Does your company comply with the occupational health and safety regulations applicable in your country? If yes, please provide evidence of this in the form of a corresponding certificate or self-declaration.	Y/N	Exclusion with "No"	Must	Proof/self-declaration of compliance with occupational health and safety regulations
39	Do your products carry environmental labels (according to ISO 14021, ISO 14024 or ISO TR14025)?	Y/N	Info	Must	Proof environmental label for the Products according to recognized regulations (e.g. ISO 14021)
40	Does your company have an environmental management system in place? 3= Certified environmental management system according to DIN ISO 14001 or equivalent 2= Certified environmental management system not equivalent to DIN EN ISO 9001 1= Non-certified environmental management system 0= No environmental management system	Y/N	Info	Must	Proof of environmental management (UM) by certificate or description of UM
41	If no certified environmental management system is in place, please describe how your company ensures environmental protection.	Text	Info	Can	
4.2 Expertise, qualifications, experience					
42	Does your company have a written and valid authorization from a Deutsche Bahn AG company for the maintenance of rail vehicles and/or an ECM certification?	Y/N	Info	Must	Proof of authorization of a Deutsche Bahn AG company and/or ECM certificate
43	Is your company the provider of the service? 3 = Your company is the provider of the service 2 = Your company is not the provider of the service, but you have a declaration of commitment from the manufacturer/provider so that the service can be provided in accordance with the order and all subsequent information relates to this manufacturer/provider. 1 = Your company is not the provider of the service and you do not have a declaration of commitment from a manufacturer/provider	Y/N	Exclusion at "1"	Must	Proof of manufacturer's undertaking that the service will be provided in accordance with the order
44	Is your company in possession of the qualification for the welding requirements for the manufacture of rail vehicles for Deutsche Bahn AG: "Certificate for welding according to DIN EN 15085-2" for certification level CL 1 by a manufacturer certification body recognized by the national railroad safety authority for the application area?	Y/N	Exclusion in case of "No"	Must	Proof qualification for Welding requirements for the manufacture of rail vehicles (DIN EN 15085-2)
45	Is your company in possession of the qualification for the bonding requirements for the production of rail vehicles for Deutsche Bahn AG according to DIN 6701-1 for the application area?	Y/N	Exclusion in case of "No"	Must	Proof qualification for Adhesive bonding requirements for the manufacture of rail vehicles (DIN 6701-1)
46	Does your company have qualified personnel for non-destructive testing, indicating the allocation to the types of testing, qualification levels and number of qualified personnel in case of self-execution?	Y/N	Info	Can	Proof of qualified personnel for non-destructive tests
47	Are external service providers used for destructive and non-destructive testing and what certifications do they have?	Y/N and text	Exclusion in case of "No" if question no. 46 is	Must	Verification Certificates for destructive and non-destructive testing methods from external service providers

			also "No"		
	Question	Text / Y/N	Info / KO	Can / Must	Proof documents / Document upload
48	Does your company provide its own design services or purchase them from specialized design offices?	Y/N	Exclusion with "No"	Must	Proof of provision of own or through specialized design office provided design services
49	Does your company have a sufficient number of qualified employees in construction with the necessary specialization and relevant references?	Y/N	Info	Must	Proof qualified personnel for the Construction according to the criteria: Number, degree, specialization and references
50	Does your company have a sufficient number of qualified employees in production (e.g. engineers, fitters, electricians, welders, mechatronics engineers) with the necessary specialization and relevant references?	Y/N	Exclusion for "No"	Must	Proof qualified personnel in the Manufacturing
4.3 Equipment and capacity					
51	Does your company have the necessary measurement technology?	Y/N	Exclusion with "No"	Must	List of the parameters to be used for the qualifying area existing measurement technology
52	Does your company have standard gauge access to the public rail network?	Y/N	Exclusion for "No"	Must	
53	Does your company have suitable hall stands with sufficient lighting, continuous temperature >10°C and other environmental conditions in compliance with the relevant occupational health and safety regulations?	Y/N	Exclusion in case of "No"	Must	Proof hall stands, which the Ensure compliance with relevant occupational health and safety regulations
54	Does your company have a suitable test environment for final vehicle testing?	Y/N	Exclusion for "No"	Must	Proof suitable test environment for the final inspection of the vehicles
55	Provide a self-declaration for your company on the associated technical infrastructure, such as: Body-in-white production, coloring, assembly, bogie production, component production, commissioning, track systems, scales, sprinkler system, inspection pits, pressure test systems, test runs, surveying stations, welding robots, manual welding equipment, turning and milling machines, drilling machines, cranes, lifting equipment, etc.	Y/N	Exclusion with "No"	Must	Evidence of the associated technical infrastructure of the company
56	Does your company have the appropriate equipment to provide design services, in terms of: Workstations, hardware, software (Auto-CAD and similar), FEM calculations, software development, output devices, etc.?	Y/N	Info	Must	Proof of existing Equipment for the provision of design services
57	List the workshops operated or contracted by your company.	Text	Info	Can	
58	Which of the workshops operated or contracted by your company are authorized by Deutsche Bahn or a subsidiary?	Text	Info	Can	
5.					
59	Your company confirms that all information provided in the submitted questionnaire as well as in the submitted documents and self-declarations is true and complete. to have undertaken?	Y/N	Exclusion for "No"	Must	